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# Biophysical characterization approaches to aid the selection of protein formulations by predicting their physical stability during long-term storage

Hristo Svilenov, Gerhard Winter

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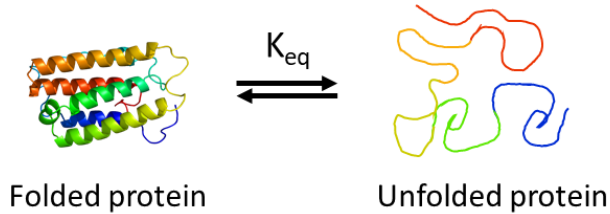
July 2019



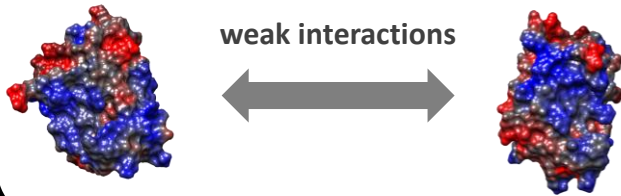
# Protein stability aspects

## Physical stability

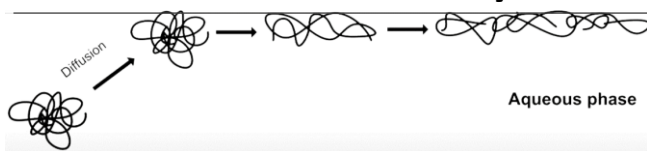
### Conformational stability



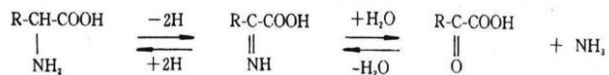
### Colloidal stability



### Interfacial stability

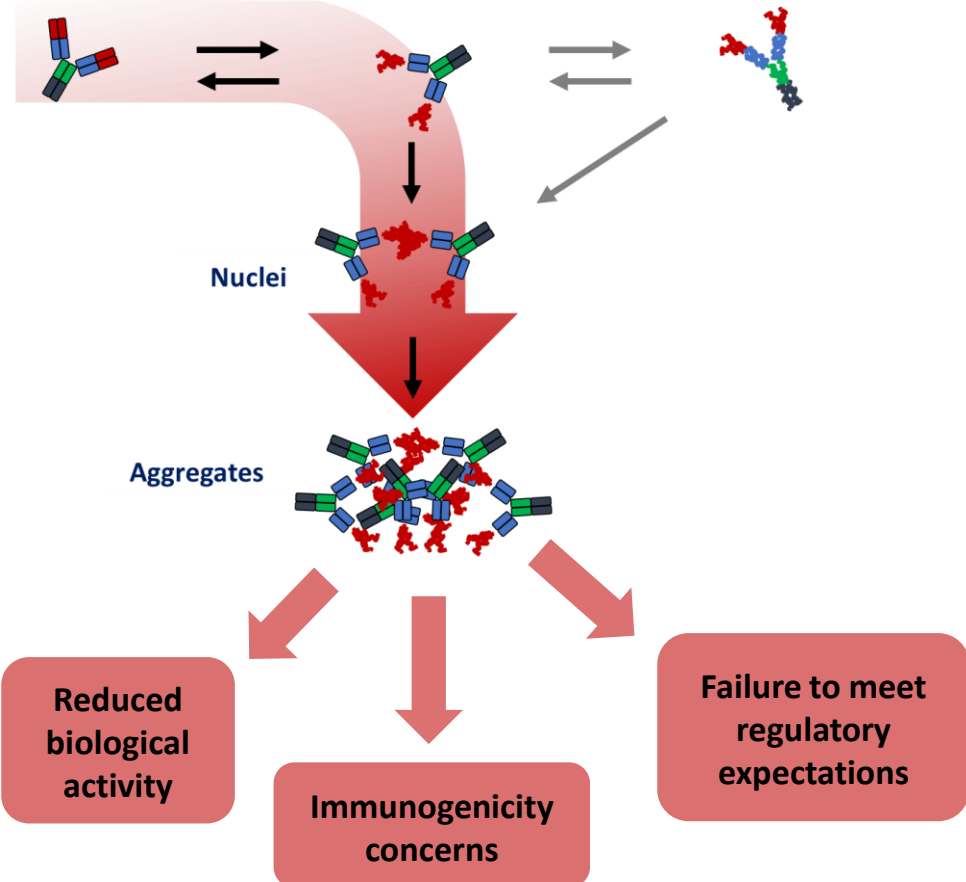


### Chemical stability

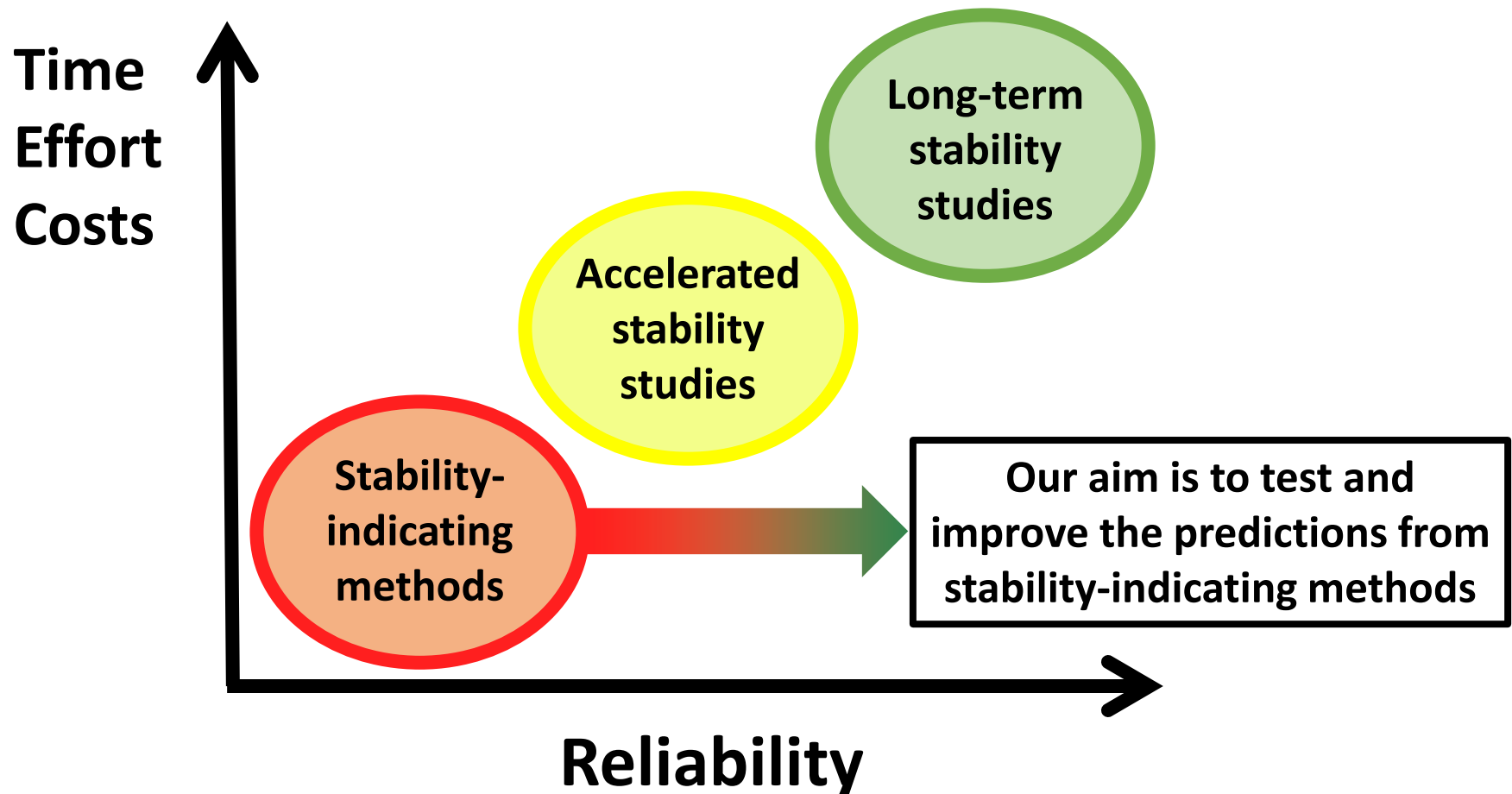


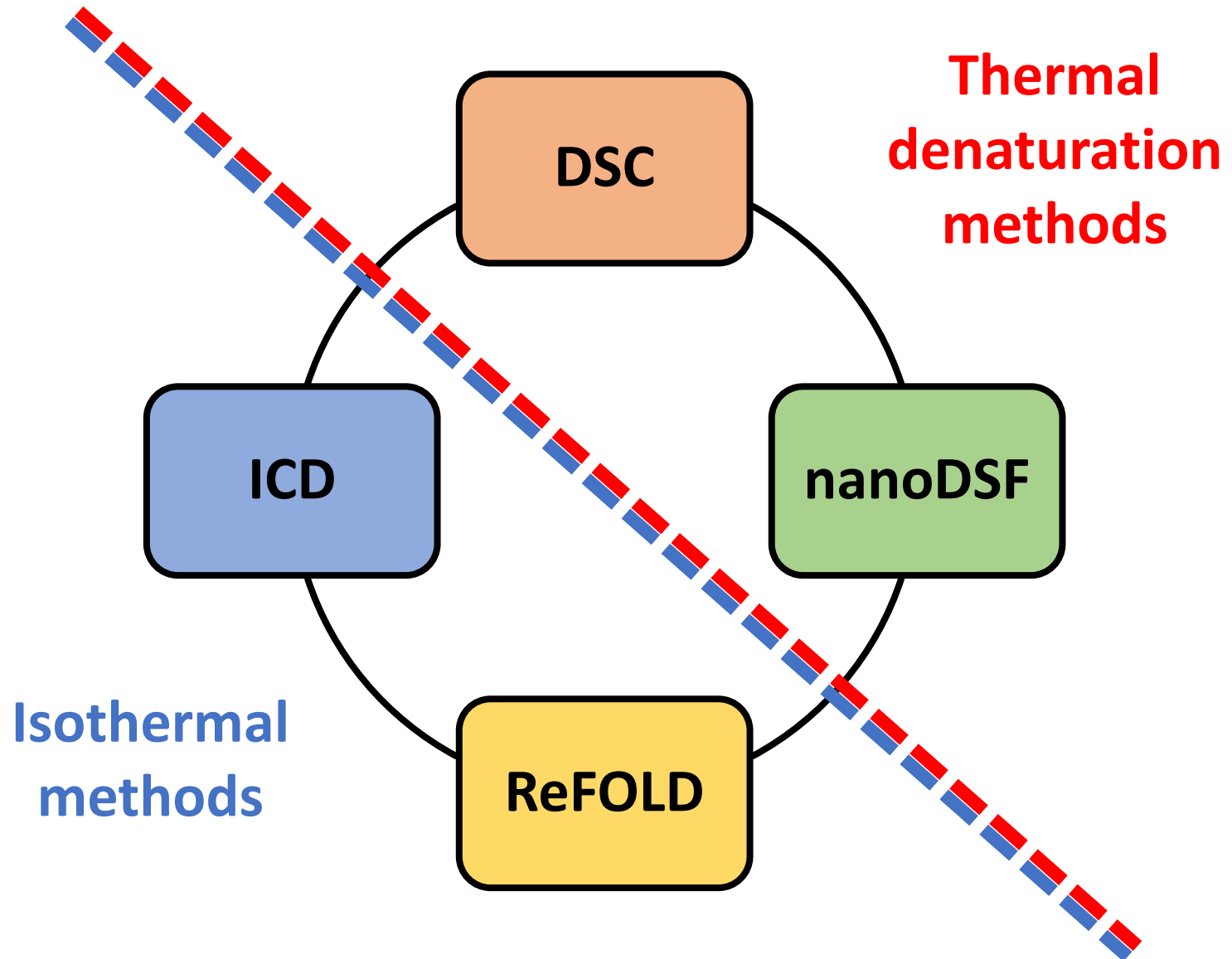
## Protein aggregation due to poor physical stability

Native folded protein    Partially unfolded protein    Completely unfolded protein

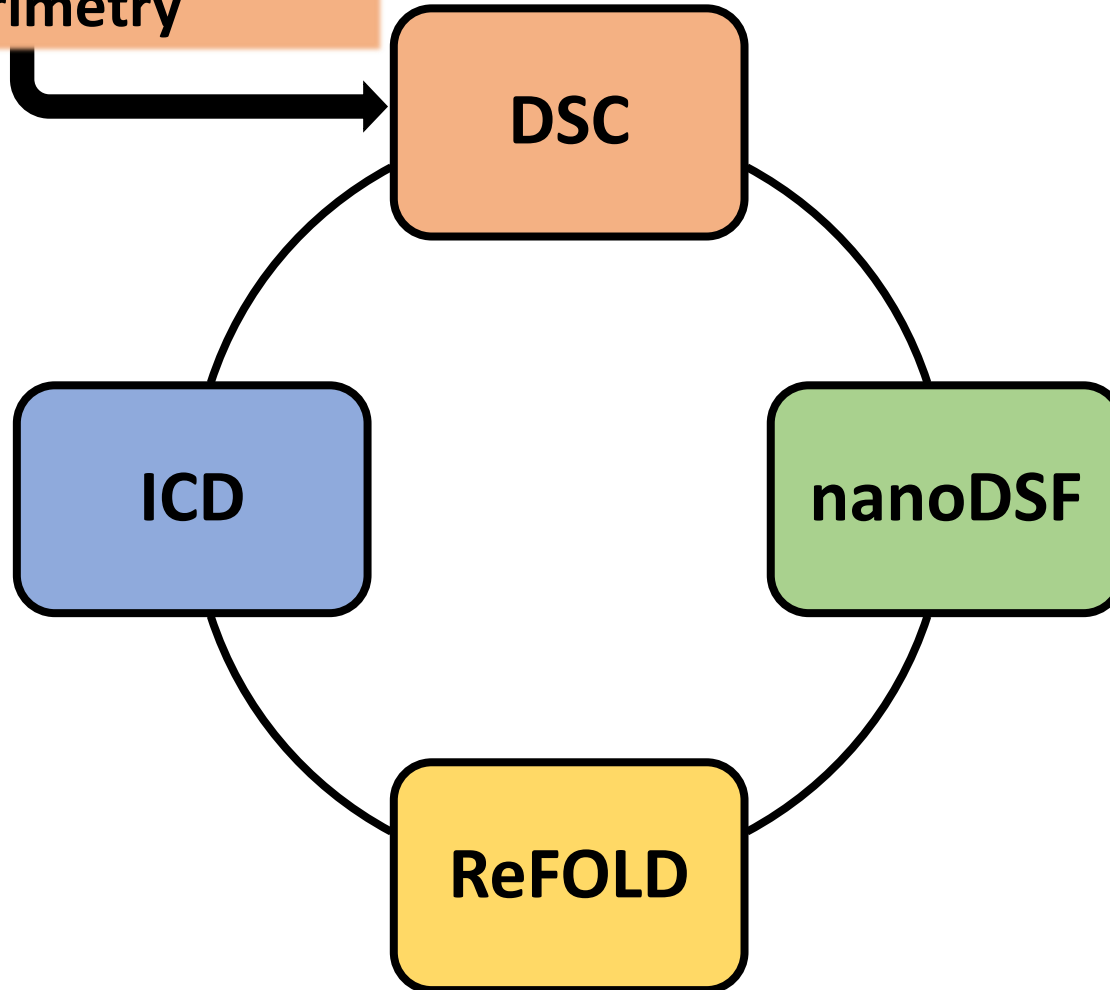


# How to select formulations where protein aggregation is suppressed during storage?

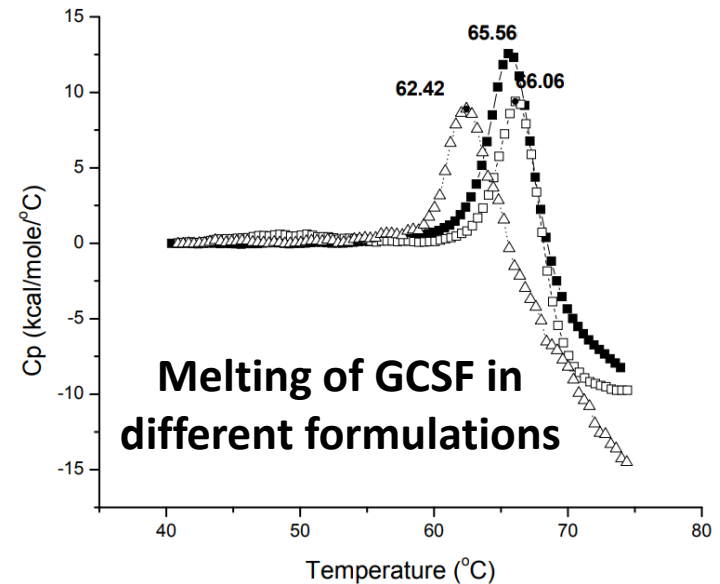
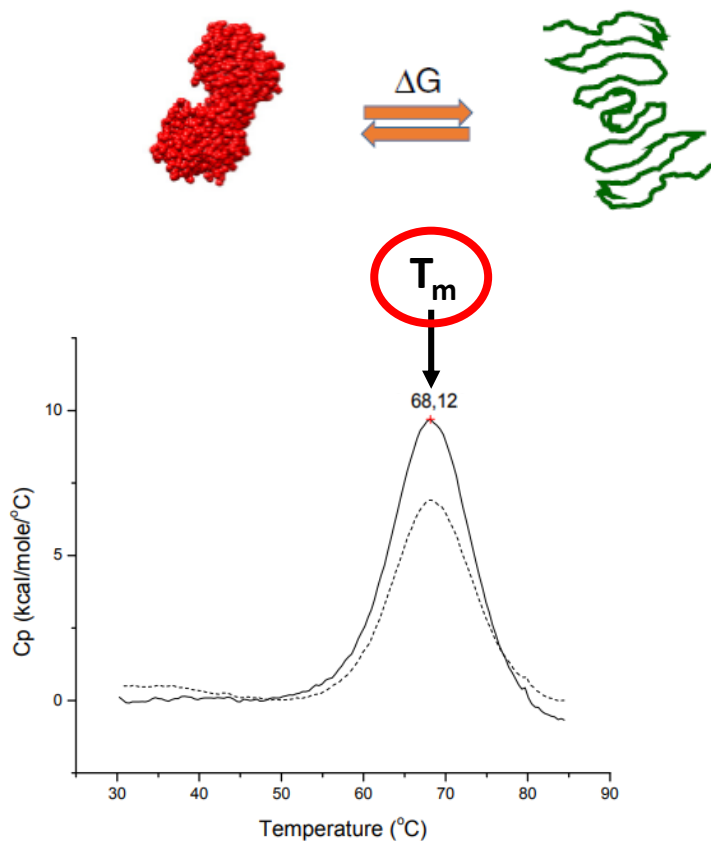




## Differential Scanning Calorimetry

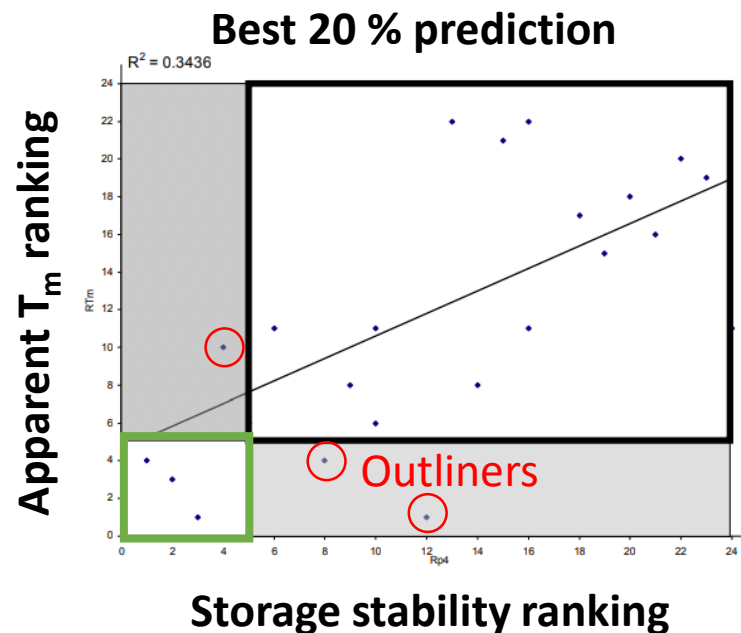
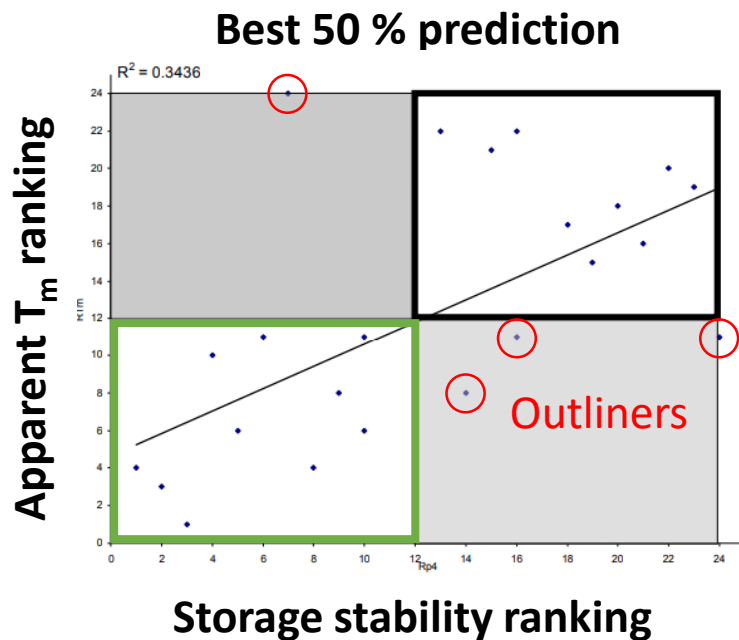


Differential scanning microcalorimetry can be used to determine the (apparent) protein melting temperature  $T_m$  in different formulations



**Basic assumption of this approach:**  
The long-term stability of the formulations correlates with the (apparent) protein melting temperatures

## Correlation between prediction from melting temperature of GCSF and storage stability at 4 °C (Youssef and Winter 2013)



Other examples showing that DSC can provide only some predictions for storage stability:

### Interleukin-1 Receptor (IL-1R) Liquid Formulation Development Using Differential Scanning Calorimetry

Richard L. Remmele, Jr.,<sup>1,3</sup> Nancy S. Nightlinger,<sup>1</sup> Subhashini Srinivasan,<sup>2</sup> and Wayne R. Gombotz<sup>1</sup>

### molecular pharmaceutics

#### Examination of Thermal Unfolding and Aggregation Profiles of a Series of Developable Therapeutic Monoclonal Antibodies

Mark L. Brader,\* Tia Estey, Shujun Bai,<sup>†</sup> Roy W. Alston, Karin K. Lucas,<sup>‡</sup> Steven Lantz, Pavel Landsman, and Kevin M. Maloney

MABS  
2016, VOL. 8, NO. 6, 1088–1097  
<http://dx.doi.org/10.1080/19420862.2016.1189046>

#### REPORT

#### A comparison of biophysical characterization techniques in predicting monoclonal antibody stability

Geetha Thiagarajan<sup>a</sup>, Andrew Semple<sup>a</sup>, Jose K. James<sup>b</sup>, Jason K. Cheung<sup>a</sup>, and Mohammed Shameem<sup>a</sup>

<sup>a</sup>Sterile Product and Analytical Development Group, Biologics & Vaccines, Merck & Co., Inc., Kenilworth, NJ, USA; <sup>b</sup>Center for Advanced Biotechnology and Medicine, Robert Wood Johnson Medical School, Rutgers University, Piscataway, NJ, USA



## The $T_m$ approach with DSC in brief

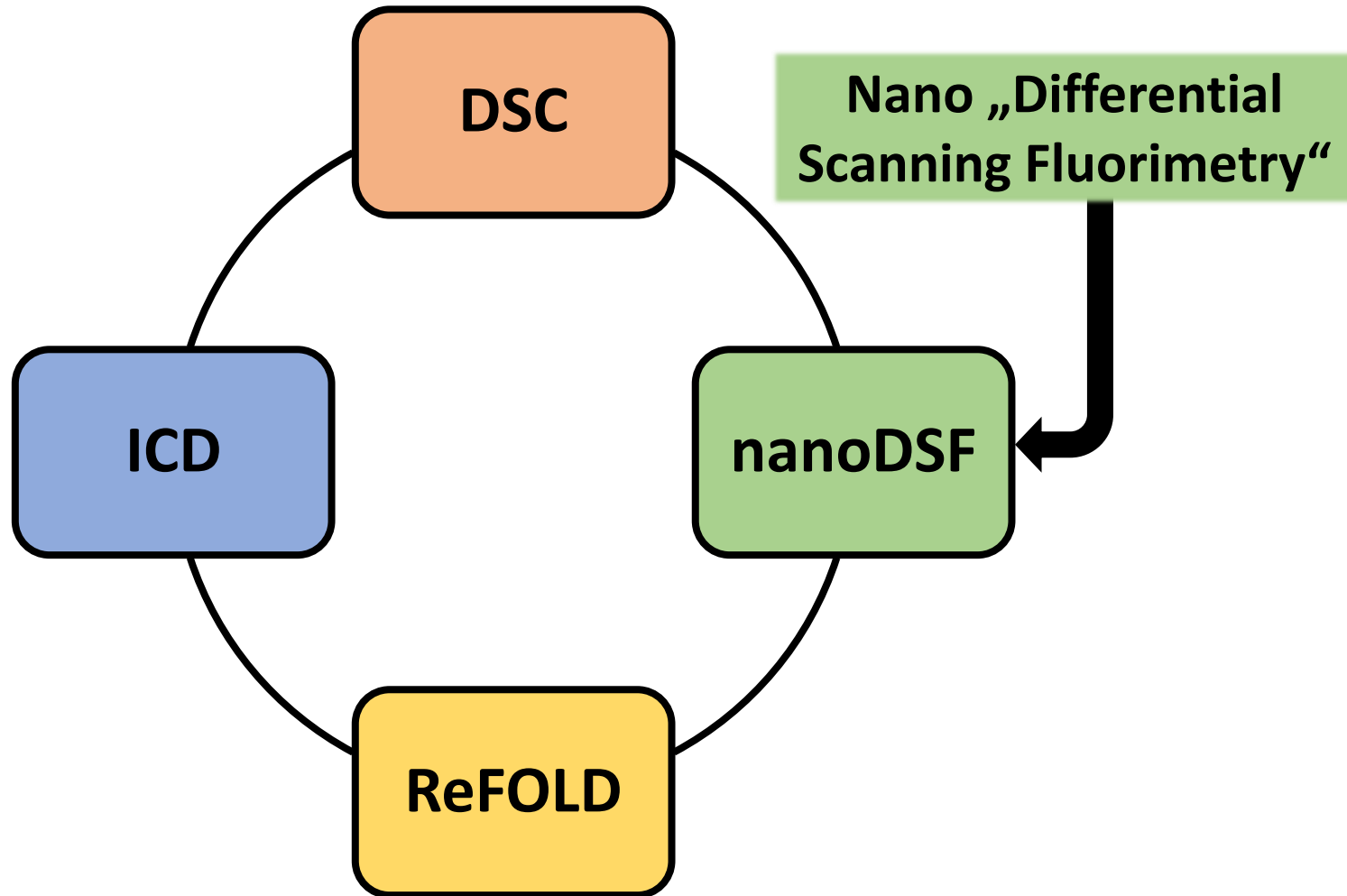


Measuring  $T_m$  with differential scanning calorimetry (DSC) offers:

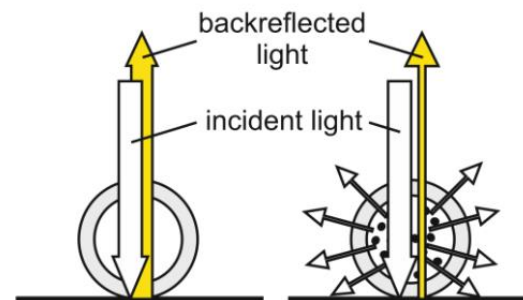
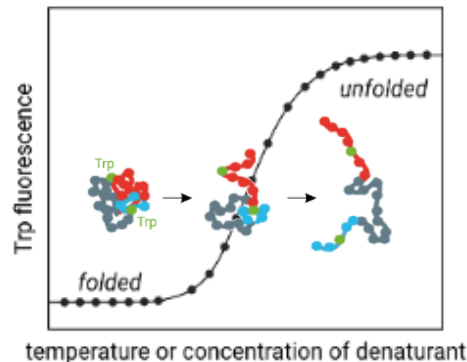
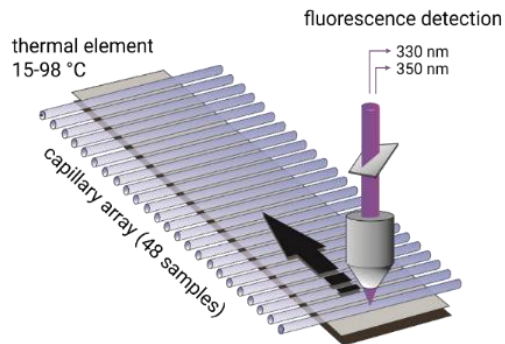
- Some predictions which formulations are stable during storage

However, this approach had the following limitations:

- Low-throughput: only one sample per run possible (ca. 3 samples per day)
- Sample heating: some excipients change properties with temperature
- Large sample volume: 550  $\mu$ l measurement volume -> 800  $\mu$ l handling volume
- Limited prediction reliability: " $T_m$  showed a limitation in predicting the exact ranking"

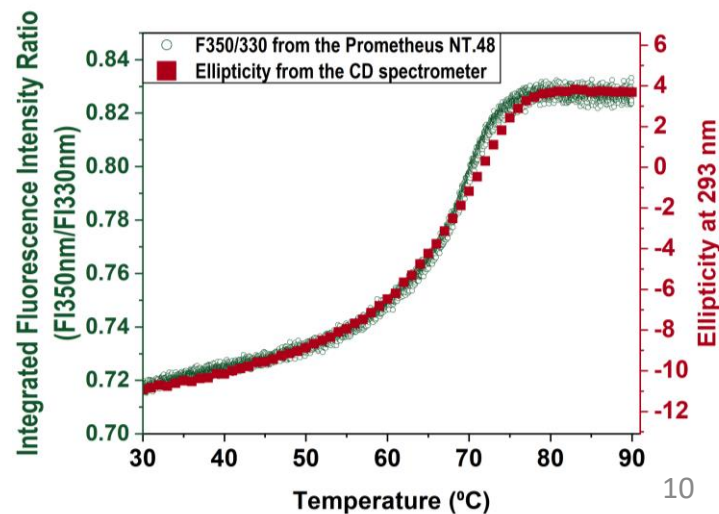


## nanoDSF measures protein unfolding by detecting changes in the intrinsic protein fluorescence

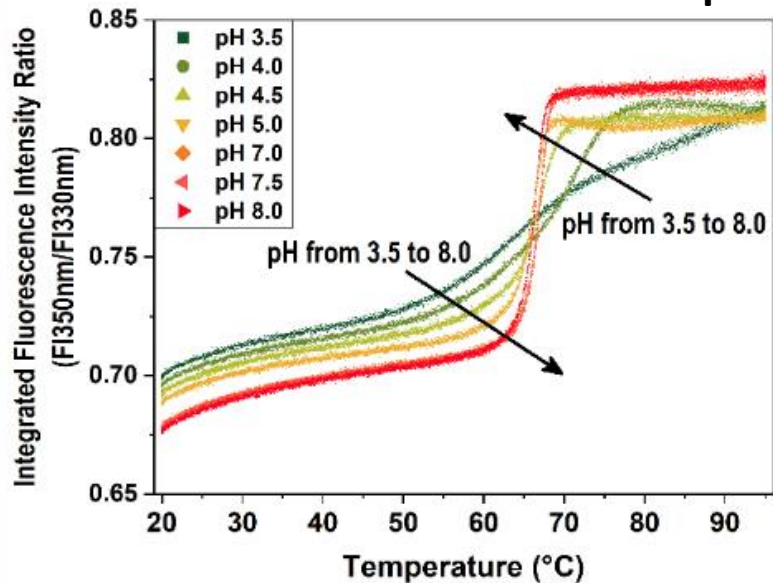


nanoDSF provides melting temperatures like other methods faster and with less sample

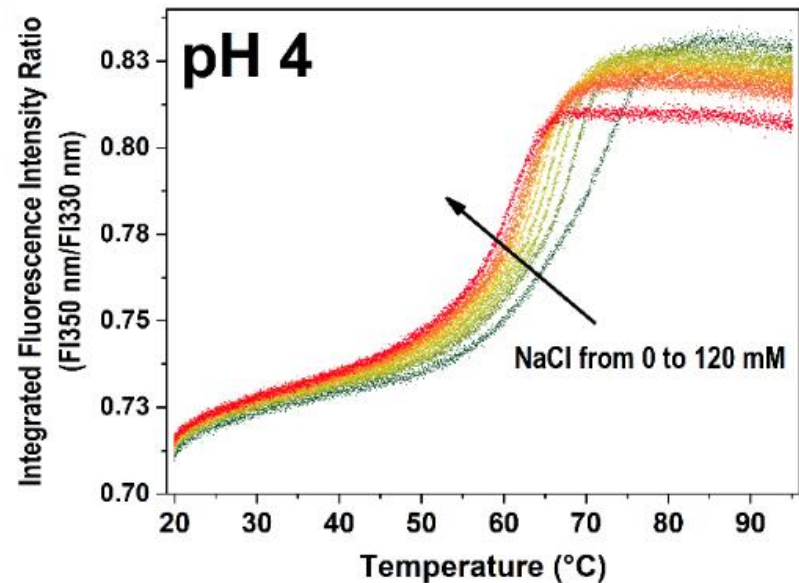
$\mu$ DSC	near-UV CD	nanoDSF
$\approx 550 \mu\text{L}$	$\approx 600 \mu\text{L}$	$\approx 10 \mu\text{L}$
$\approx 3$ samples/day	$\approx 6$ samples/1.5 h	$\approx 48$ samples/1.5 h
Extensive hands-on time	Medium hands-on time	Very short hands-on time



## nanoDSF: Thermal unfolding of interferon $\alpha 2a$ at different pH



## nanoDSF: Thermal unfolding of interferon $\alpha 2a$ as a function of [NaCl]



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Rapid sample-saving biophysical characterisation and long-term storage stability of liquid interferon  $\alpha 2a$  formulations: Is there a correlation?

Hristo Svilenov\*, Gerhard Winter

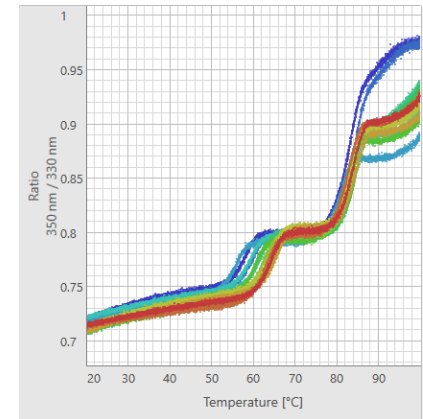
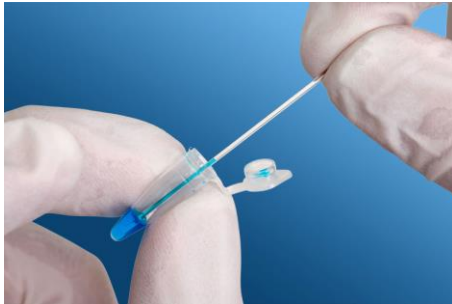
Department of Pharmacy, Pharmaceutical Technology and Biopharmaceutics, Ludwig-Maximilians-University, Butenandstrasse 5-13, Munich D-81377, Germany



The data on these graphs is generated in 90 minutes with 150  $\mu$ L of sample

we investigate the effect of pH and ionic strength on protein stability. The predictions from the sample-saving biophysical characterisation are validated by long-term stability studies at 4 °C and 25 °C for 12 months on selected formulations. Interferon  $\alpha 2a$  shows minimal aggregation in 10 mM sodium acetate buffer with pH 4 and low ionic strength. The latter is indicated by the rapid sample-saving biophysical characterisation and confirmed by the long-term stability data.

## The $T_m$ approach with nanoDSF in brief



Measuring  $T_m$  with nanoDSF offers:

- ▶ Some predictions which formulations are stable during storage
- ▶ High-throughput: typically 48 samples in 1.5 hours
- ▶ Small sample volume: 10  $\mu$ L for one measurement

However, this approach has the following limitations:

- ▶ Sample heating: some excipients change properties with temperature
- ▶ Limited prediction reliability:  $T_m$  shows a limitation in predicting the exact ranking

# Thermal denaturation techniques like DSC and nanoDSF work by increasing sample temperature to measure melting temperatures

## $T_m$ -Values and Unfolded Fraction Can Predict Aggregation Rates for Granulocyte Colony Stimulating Factor Variant Formulations but Not under Predominantly Native Conditions

Mathew J. Robinson,<sup>†</sup> Paul Matejtschuk,<sup>§</sup> Adrian F. Bristow,<sup>§</sup> and Paul A. Dalby<sup>\*,†</sup>

<sup>†</sup>Department of Biochemical Engineering, University College London, London WC1E 7JE, U.K.

<sup>§</sup>National Institute of Biological Standards and Control (NIBSC), South Mimms, Potters Bar, Hertfordshire EN6 3QG, U.K.

### Research paper

Isothermal chemical denaturation as a complementary tool to overcome limitations of thermal differential scanning fluorimetry in predicting physical stability of protein formulations

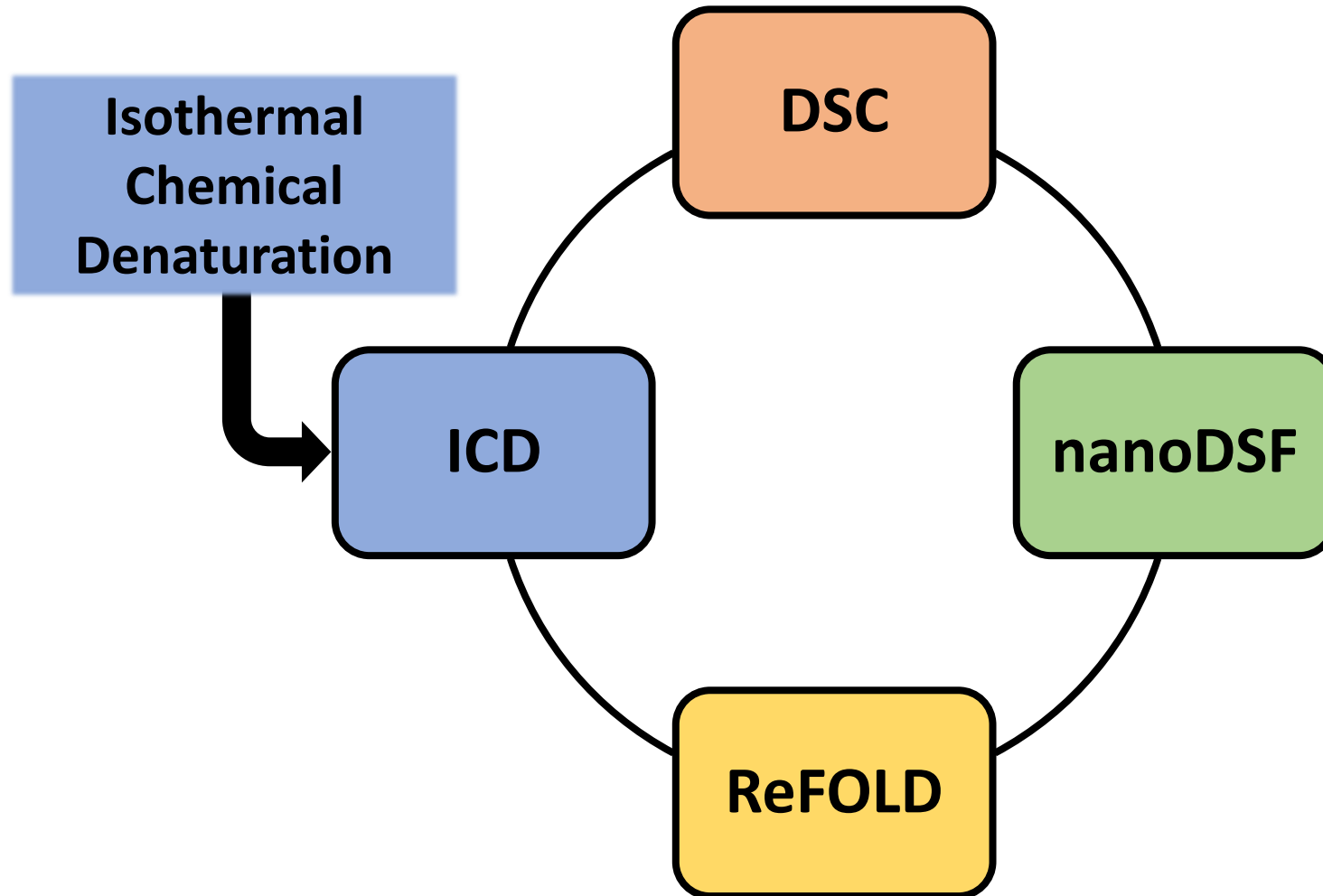
Hristo Svilenov<sup>a,\*</sup>, Uroš Markoja<sup>b</sup>, Gerhard Winter<sup>a</sup>

<sup>a</sup>Department of Pharmacy, Pharmaceutical Technology and Biopharmaceutics, Ludwig-Maximilians-Universität München, Biedersteinerstrasse 29, Munich D-81377, Germany

<sup>b</sup>University of Ljubljana, Faculty of Pharmacy, Akerčeva 7, 1000 Ljubljana, Slovenia

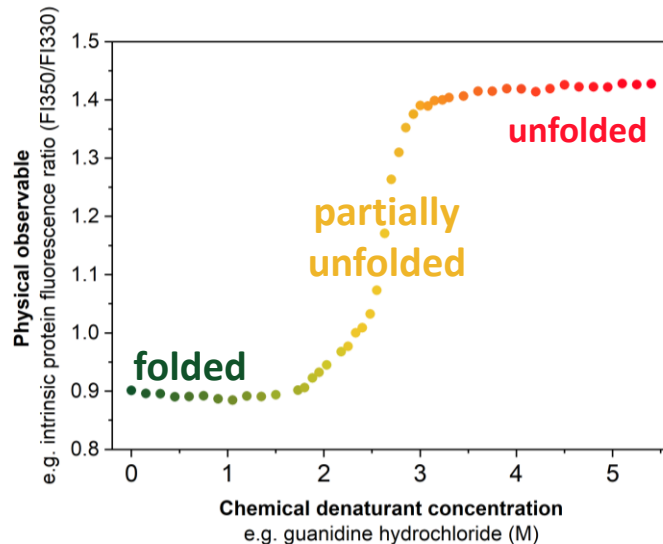
Overall, as a formulation development strategy, it is useful to increase  $T_m$  in the initial stages for poorly stable proteins, at least up until the mechanistic limit is reached whereby  $f_{T37} < 10^{-3}$ . For degradation of GCSF at 37 °C, this limit was reached at approximately  $T_m > 55$  °C, where  $\ln v_{mon} < 4$  (% day<sup>-1</sup>). Beyond that point, a very different formulation strategy would be required. Protein engineering to remove aggregation hotspots, minimize local unfolding dynamics, increase the net charge, or remove hydrophobic surface patches could be considered.

High throughput thermal denaturation is a valuable technique to determine the melting temperatures of therapeutic protein candidates in early stage development when the amount of material is limited. When it comes to formulation studies, thermal denaturation techniques in general are (alongside other pitfalls discussed in the introduction) limited by the fact that the increase in temperature can change key properties of the excipients (i.e. pH of the buffer system). Care should be taken when such measurements are conducted. pH screenings based on  $T_m$  values should be performed only in buffers with dpH/dT close to zero. After the pH range of maximum thermal stability of a protein is

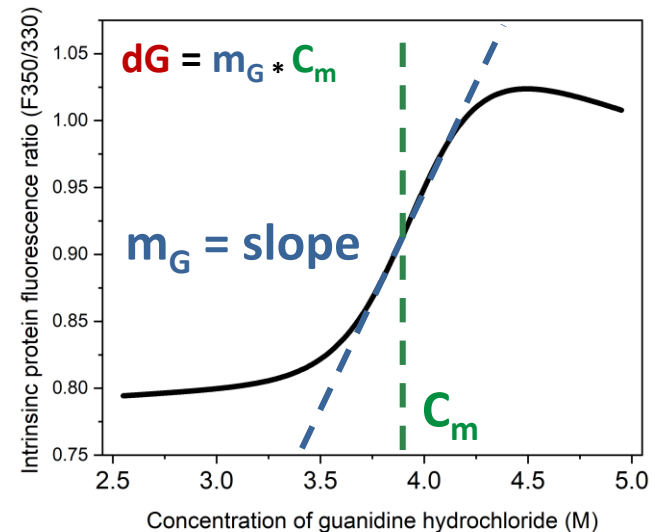


# Isothermal Chemical Denaturation (ICD) for protein formulation

Increasing concentrations of a chemical denaturant are used to cause protein unfolding



Fit to a two-state protein unfolding in an ICD experiment



The ICD data can provide the following protein stability-indicating parameters:

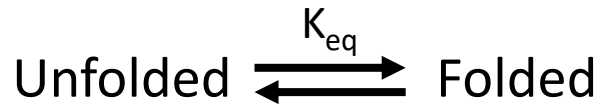
$C_m$  – the “melting” concentration of denaturant needed to unfold 50 % of the protein;

$m_G$  – the “m-value” is an indicator for the cooperativity of the unfolding;

$dG$  – the Gibbs free energy of protein unfolding;



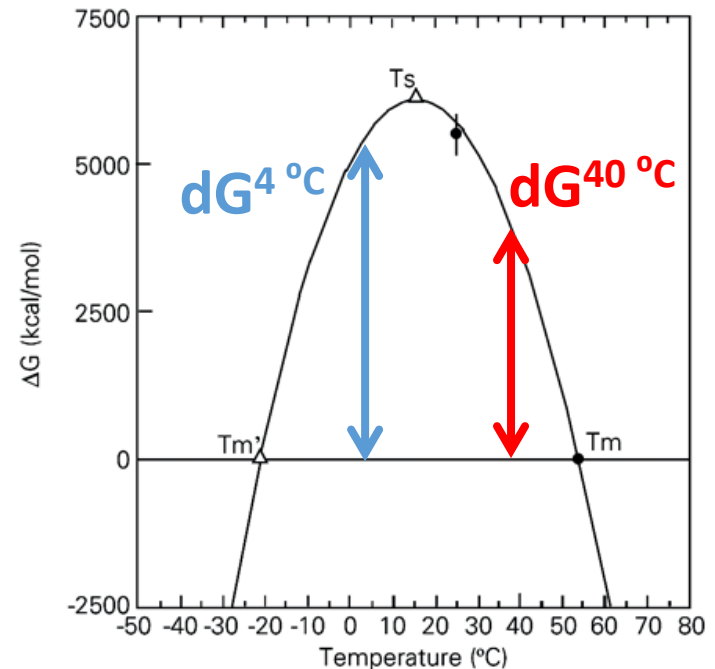
The true **dG** allows to calculate the fraction of unfolded protein species



$$dG = -RT \ln(K_{eq})$$

dG (kcal/mol)	% Unfolded protein
0	50
2.7	1
4.1	0.1
5.5	0.01
6.8	0.001
8.2	0.0001
9.6	0.00001

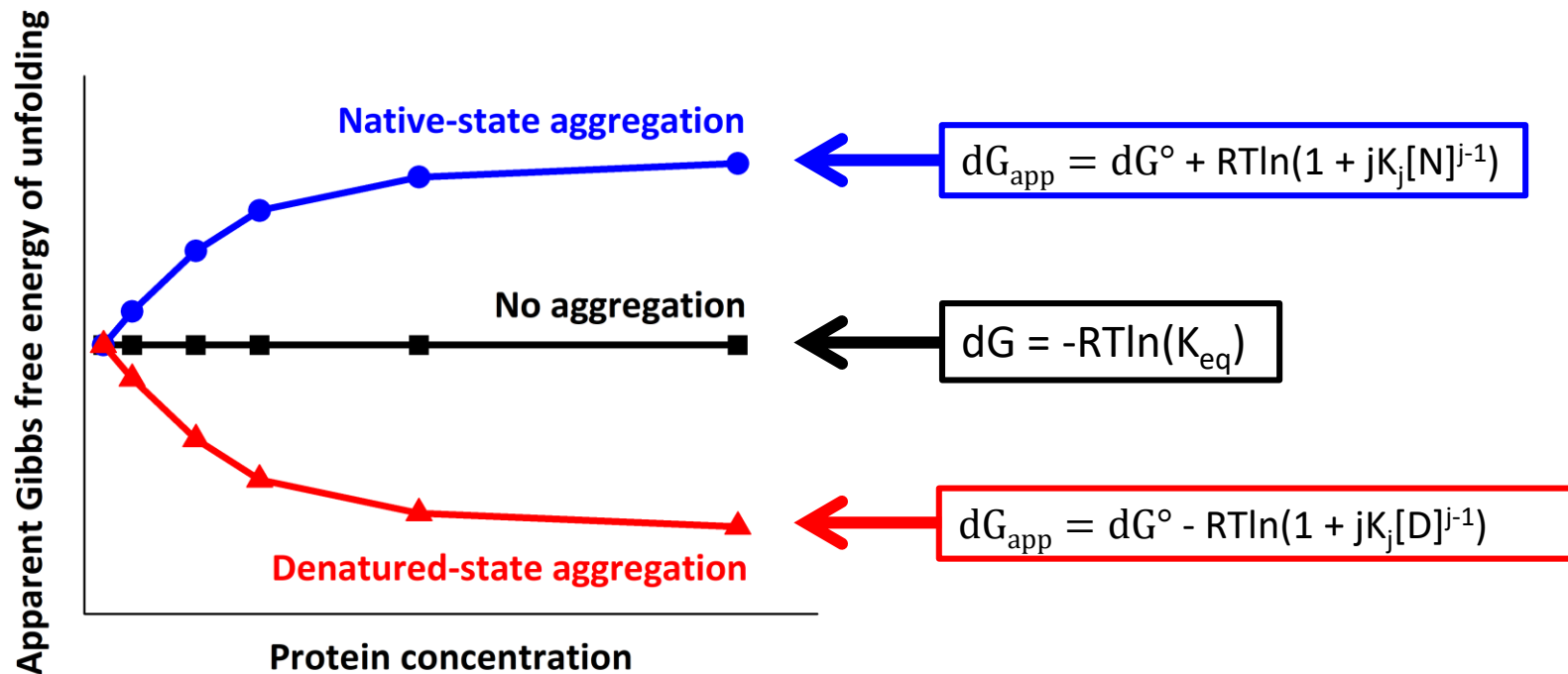
**dG** is a measure of the protein conformational stability at a given temperature.



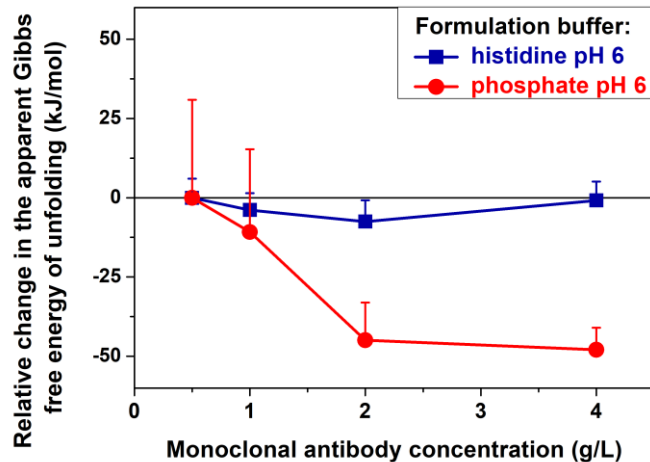
**Determining the true dG is possible only when the unfolding of the protein in the denaturant is fully reversible**



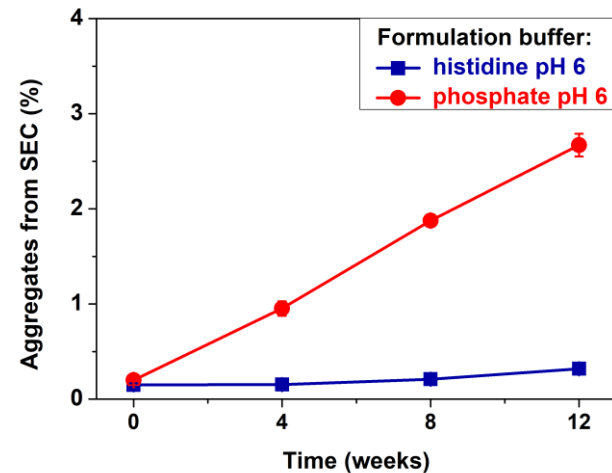
The concentration dependence of the apparent  $dG$  can indicate whether a protein is likely to aggregate from its native or unfolded state



## Concentration dependence of the Gibbs free energy (dG) of protein unfolding

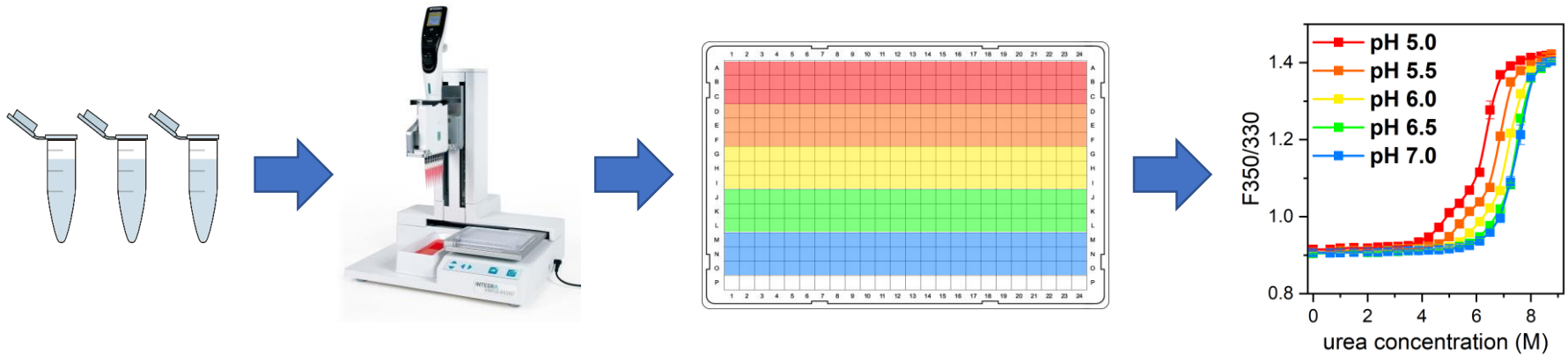


## Protein aggregation at 40 °C



**This approach is useful and provides orthogonal information during the selection of stable protein formulations**

## The dG and dG<sub>trend</sub> approach with ICD in brief

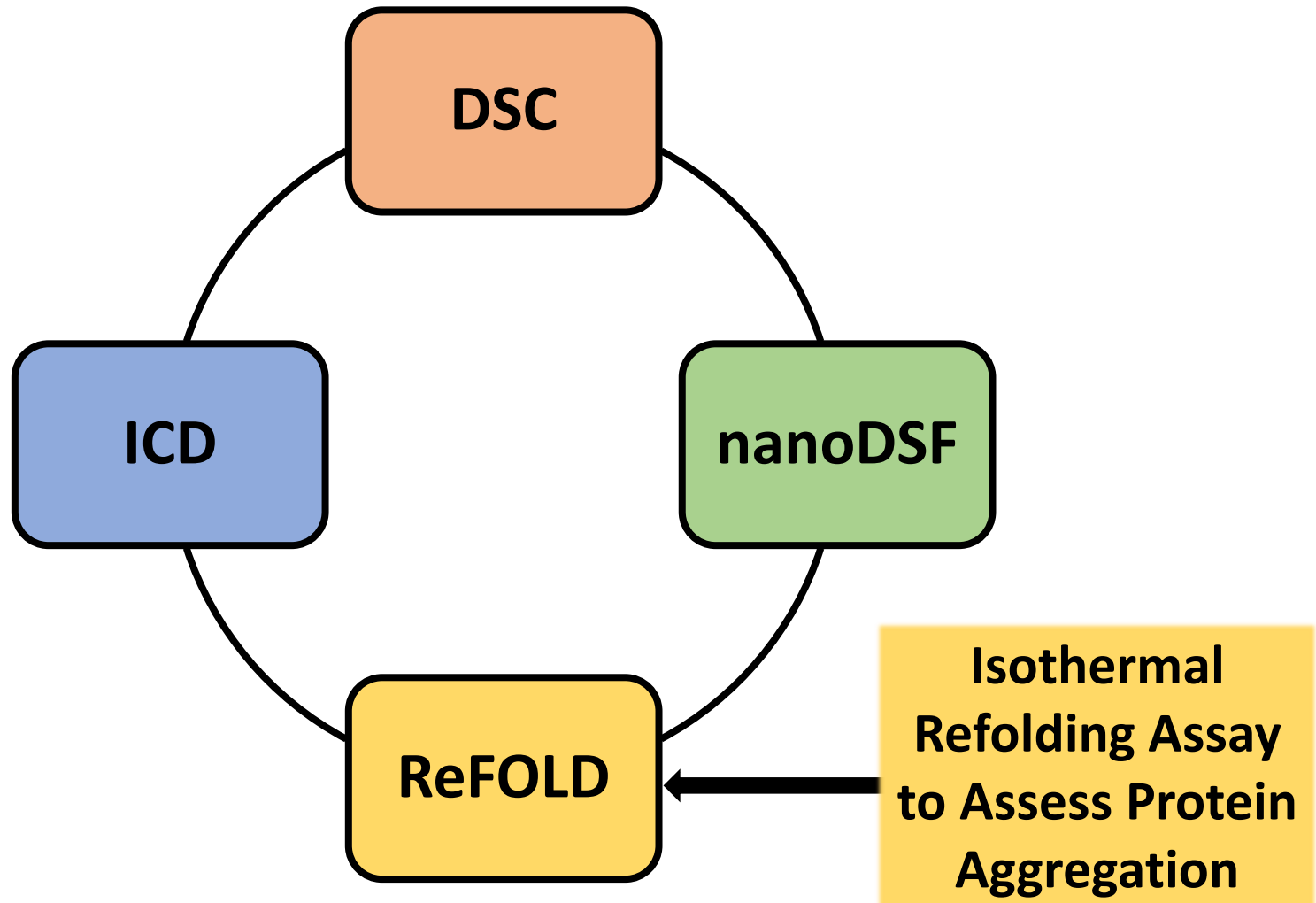


Measuring dG and the concentration dependence of dG with ICD offers:

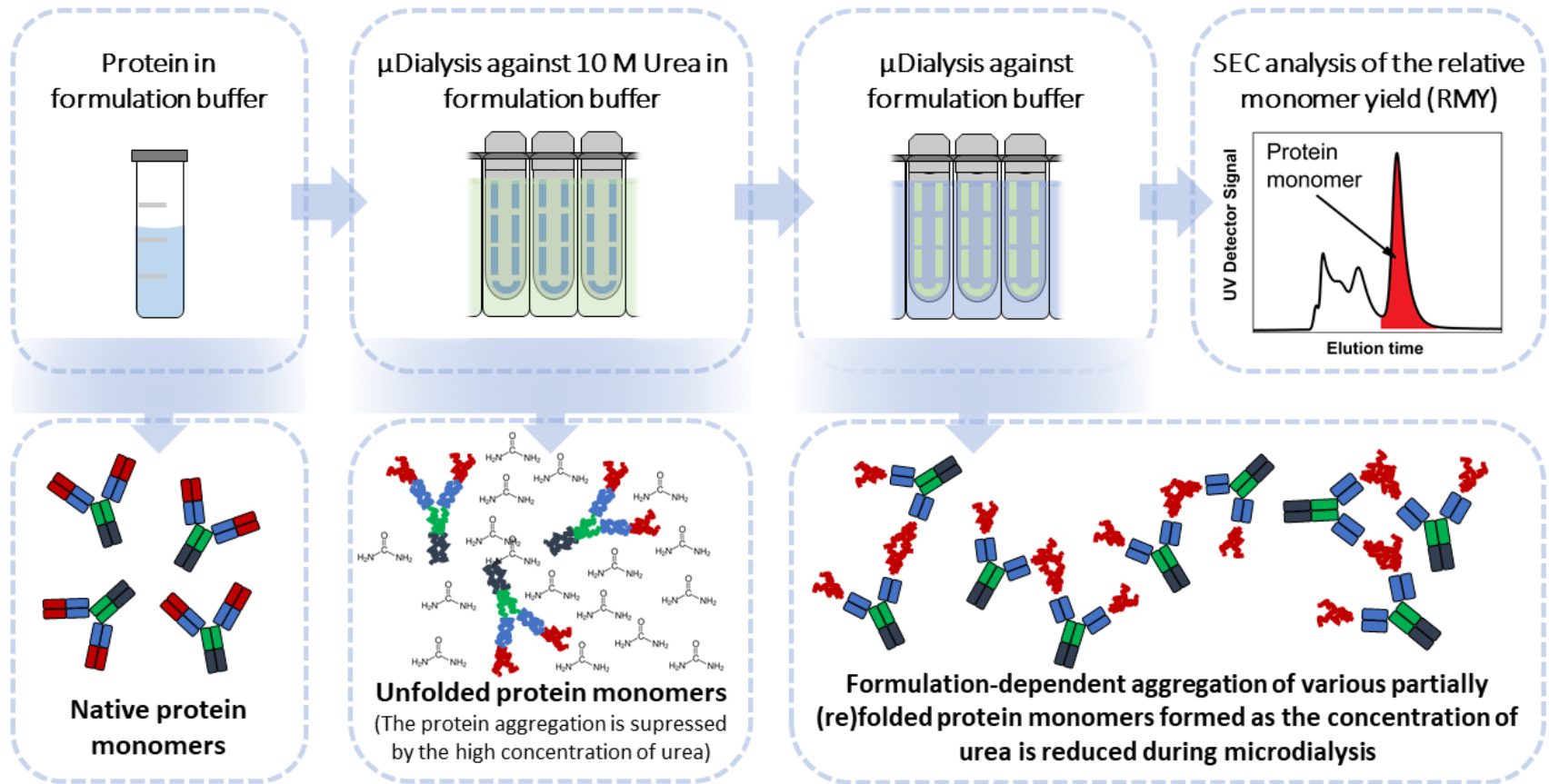
- Some predictions which formulations are stable during storage
- No sample heating

However, this approach had the following limitations:

- Medium throughput: Depends on the experimental set-up
- Medium to high sample volume: Depends on the experimental set-up
- Limited prediction accuracy: The exact order of the formulations is still not known



## Schematic diagram of the ReFOLD assay

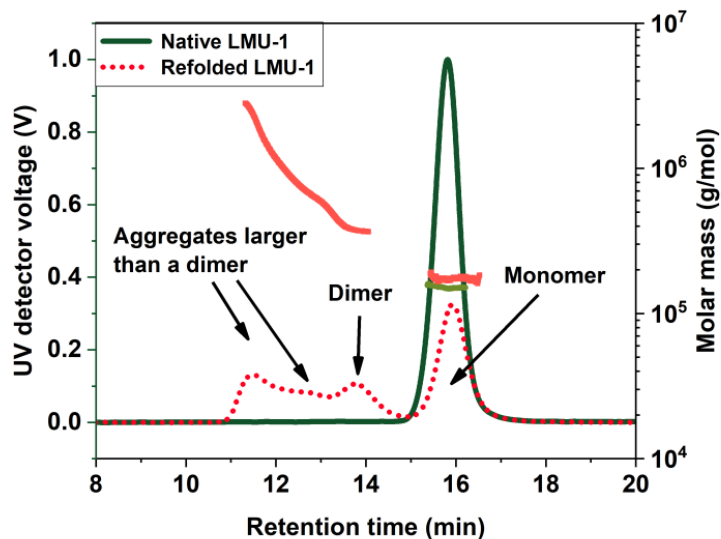


### Basic assumption of this approach:

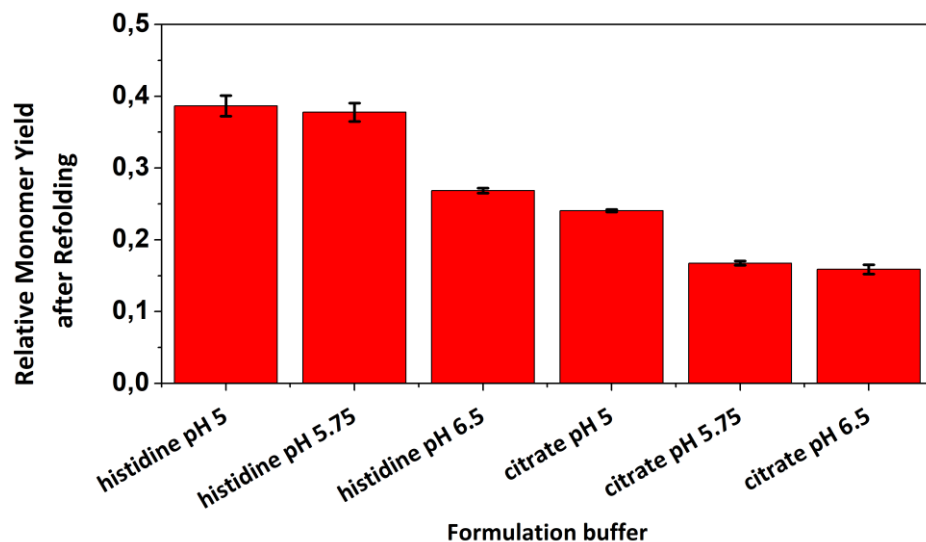
The aggregation of the partially unfolded protein during refolding correlates with the long-term storage stability

## Protein aggregation during dialysis refolding depends on the formulation

### SEC-MALS chromatograms of native and refolded mAb-A



### Effect of formulation on the relative monomer yield of mAb-A after refolding from urea

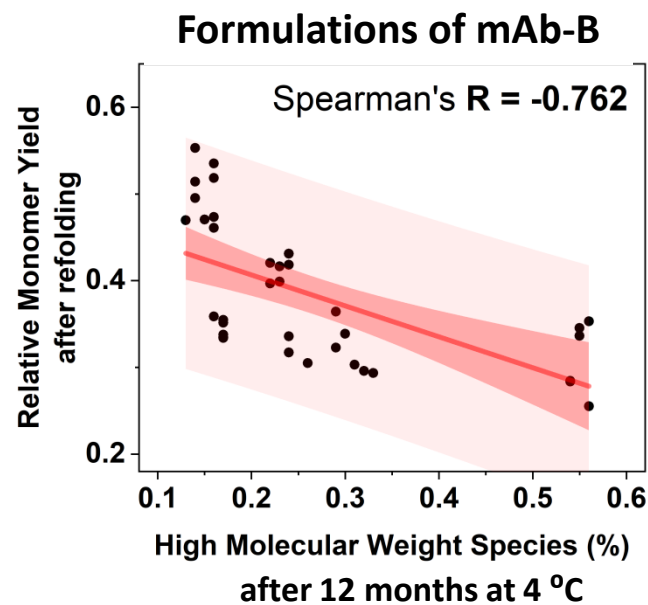
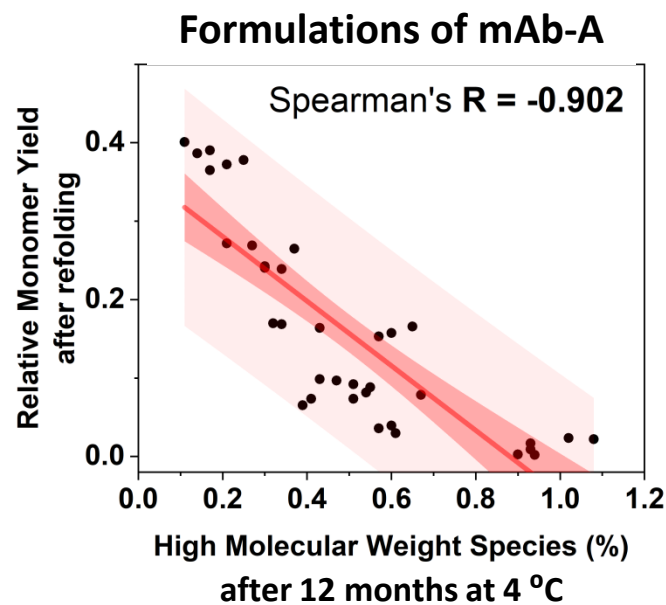


?

Can this approach rank the storage stability of the formulations?

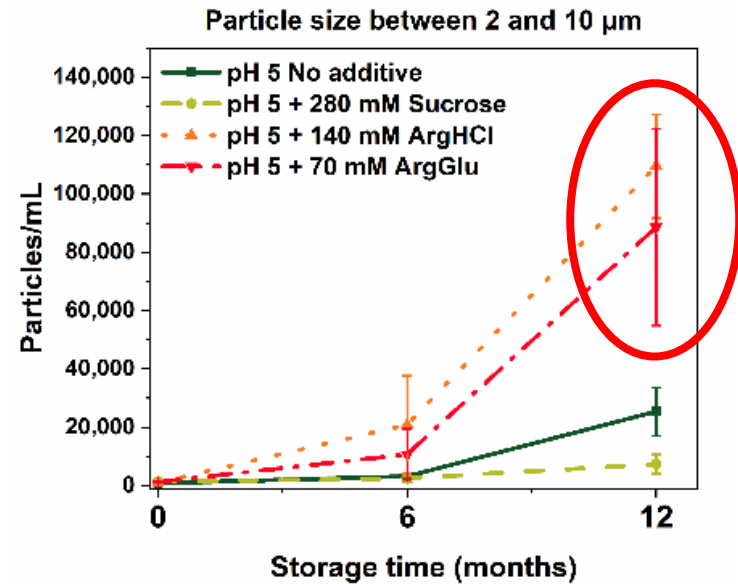
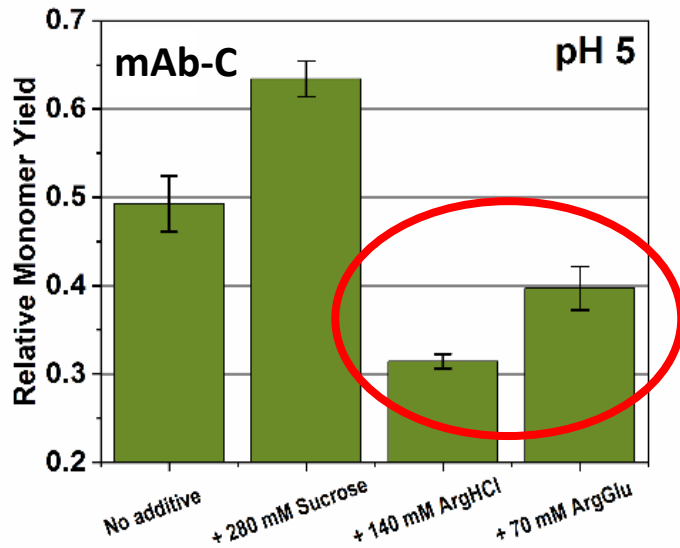
?

The relative monomer yield from the ReFOLD assay correlates well with the amount of protein aggregates detected after long-term storage for 12 months at 4 °C

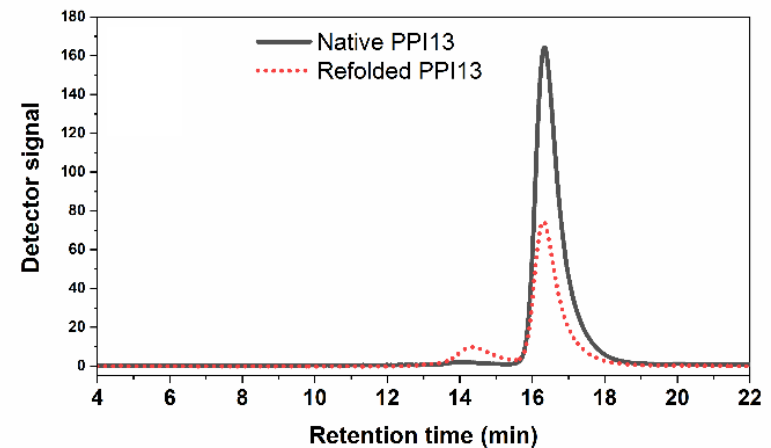
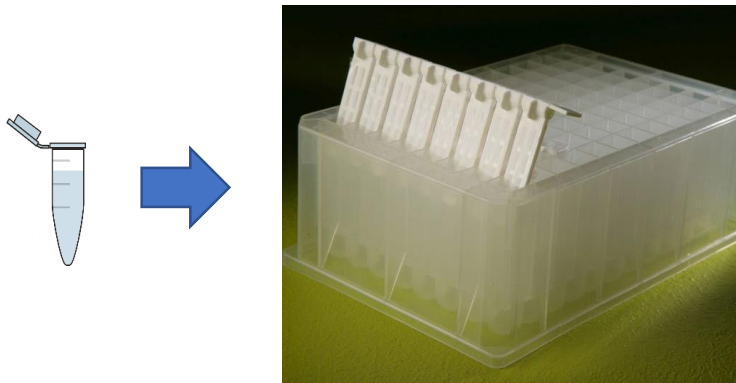




The relative monomer yield from the ReFOLD assay correlates well with the number of subvisible particles detected after storage for 12 months at 25 °C



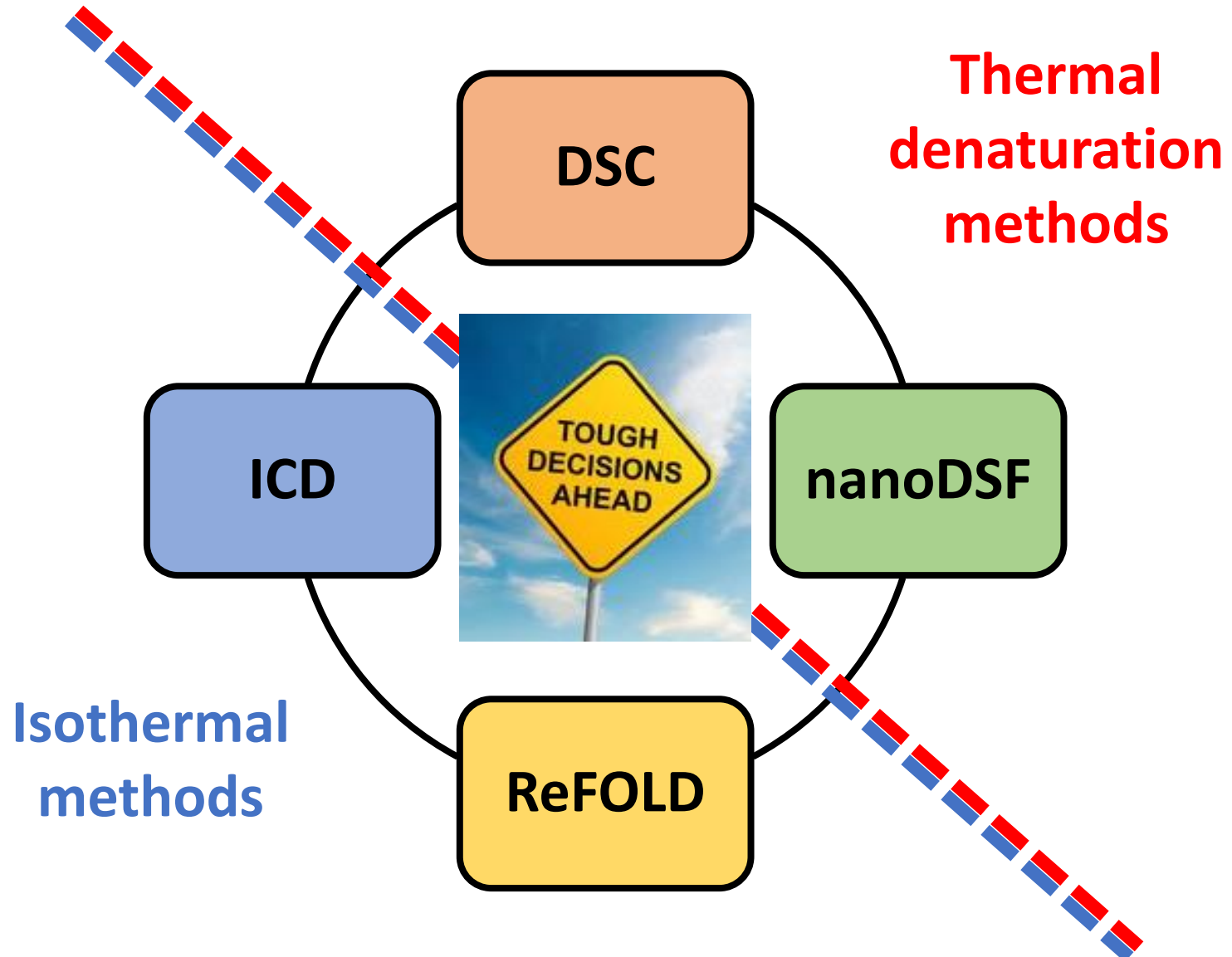
## The approach with the ReFOLD assay in brief



### The ReFOLD assay offers:

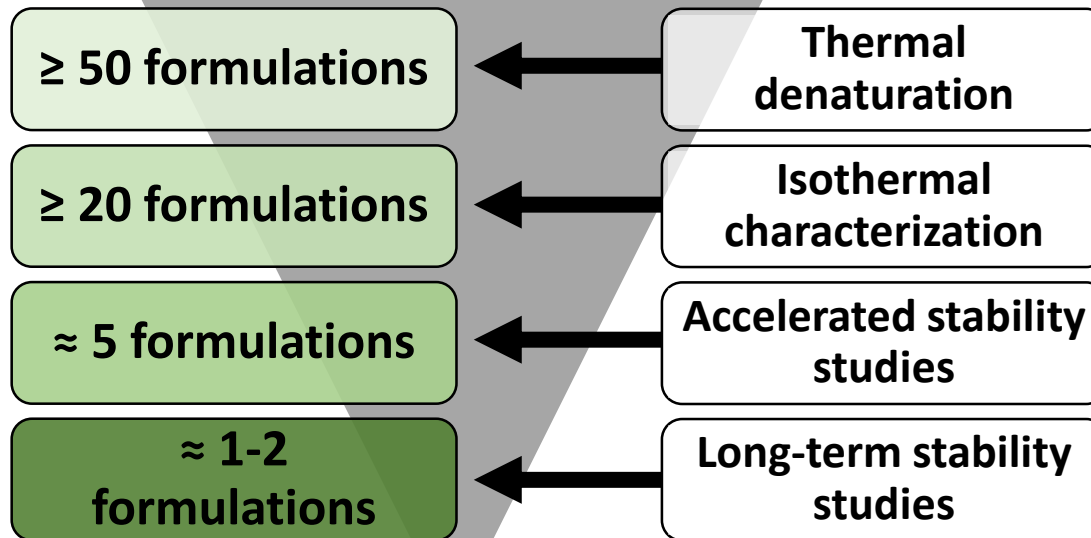
- ▶ Good predictions which formulations are stable during storage
- ▶ No sample heating
- ▶ Medium to high throughput: 96 well plate, <48 hours to complete
- ▶ Small to medium sample volume: between 10 and 100  $\mu\text{L}$

## Which stability-indicating method to choose?



# How different methods can be combined in formulation development

**New therapeutic protein candidate**



*nanoDSF (DSC as backup)*  
*Low volume, Fast, Useful, Limitations*

*ReFOLD (also ICD, DLS, SLS)*  
*Low volume, Better predictions*

*Storage at 25 °C*

*Storage at 4 °C*

**Protein solution with optimized  
physical stability**

## **In summary:**

- **Use a combination of stability-indicating methods**
- **Know the strengths and limitations of each method**
- **Validate novel predictive methods with long-term stability data**



Dr. Pernille Harris

Dr. Åsmund  
Rinnan

Prof. Dr. Gerhard Winter  
Prof. Dr. Wolfgang Frieß  
Former and current colleagues

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**Thank you for your attention!**